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32074 7590 11/12/2004

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CORPORATION
DEPT. 18G
BLDG. 300-482
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HOPEWELL JUNCTION, NY 12533

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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Nicole Barre	(Depositor's name)
Nicole Barre	(Signature)
2/9/05	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/605,134	09/10/2003	Huajie Chen	FIS920030198US1	2133

TITLE OF INVENTION: STRUCTURE AND METHOD OF MAKING STRAINED CHANNEL CMOS TRANSISTORS HAVING LATTICE-MISMATCHED EPITAXIAL EXTENSION AND SOURCE AND DRAIN REGIONS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1370	\$300	\$1670	02/14/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
TOLEDO, FERNANDO L	2823	257-204000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.☒ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Daryl K. Neff

2 H. Daniel Schnurmann

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

International Business Machines Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Armonk, New York

00 00 00
00 00 0002 FC:1504
01 FC:1501

40/05/2005 KPIKNEY 00000001 090458 10050134

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Date February 9, 2005

Typed or printed name

H. Daniel Schnurmann

Registration No. 35,791

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Teri McDonald 4/4/05

DOCUMENT(S) FAXED:

PAGES ATTACHED: 4

Re Applic of	Chen, et al.
Docket No.	FIS920030198US1
Serial No.	10/605,134
Filing Date	9/10/03
Attorney	H. Daniel Schnurmann

Attached: Authorization to Charge Deposit Account to Pay the
Issue Fee and Publication Fees

PLEASE DELIVER TO: Ms. Kay Pinkney
EXAMINER: ART UNIT: 2823
CONFIRMATION NO.: 2133
PHONE NO:
FAX NO: 703-308-6642

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Intellectual Property Law
East Fishkill Facility
2070 Route 52
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New York 12533-6531

Fax: 845-892-6363
Phone: 845-894-2580

3047

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING SENT VIA FACSIMILE TO: THE COMMISSIONER FOR PATENTS
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Teri McDonald 4/4/05
Signature & Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :

Date: April 4, 2005

Chen, et al. :

Examiner: Fernando L. Toledo
Group Art Unit: 2823

Serial No. 10/605,134 :

IBM Corporation
Dept. 18G/Bldg. 300-482
2070 Route 52
Hopewell Junction, NY 12533

Filed: September 10, 2003 :

Title: STRUCTURE AND METHOD
OF MAKING STRAINED CHANNEL
CMOS TRANSISTORS HAVING
LATTICE-MISMATCHED EPITAXIAL
EXTENSION AND SOURCE AND
DRAIN REGIONS

**AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT TO PAY THE ISSUE
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Commissioner for Patents
Alexandria, VA 22313

Attn: Kay Pinkney

Madam:

Applicants' attorneys hereby give authorization to charge Deposit Account No.
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PTO/SB/47 (05-03)

Approved for use through 3/31/2003. OMB 0651-0016

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Please recognize as the "Fee Address" under the provisions of 37 CFR 1.363 the following address associated

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 in the following listed application(s) for which the Issue Fee has been paid or patent(s).

PATENT NUMBER (if known)	APPLICATION NUMBER
	10/605,134

(check one)

☐ Applicant/Inventor

☒ Attorney/agent of record 35,791
 (Reg. No.)

☐ Assignee of record of the entire interest. See
 37 CFR 3.71. Statement under 37 CFR 3.73(b)
 is enclosed. (Form PTO/SB/96)

☐ Assignment recorded at Frame


 Signature

H. Daniel Schnurmann

Typed or printed name

845-894-2481

Requester's telephone number

February 9, 2005

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of forms are submitted.

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*** TOTAL PAGE 05 ***

PART B - FEE(S) TRANSMITTAL

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Nicole Bourgeois	(Depositor's name)
Nicole Bourgeois	(Signature)
2/9/05	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/605,134	09/10/2003	Hunjie Chen	FIS920030198US1	2133

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1 Daryl K. Neff

2 H. Daniel Schnurman

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(A) NAME OF ASSIGNEE

International Business Machines Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Armonk, New York

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

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Authorized Signature

H. Daniel Schnurman

Date February 9, 2005

Typed or printed name

H. Daniel Schnurmann

Registration No. 35,791

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If any additional fees are required, however, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 09-0458.

Respectfully submitted,



H. Daniel Schnurmann

Attorney for Applicants

Registration No. 35,791

Telephone: (845)894-2481